投資者識別碼的同意書

尊敬的客戶

證監會計劃於 2022 年底在香港證券市場實施投資者識別碼制度（HKIDR），以及為在香港聯交所上市的股票實施場外證券交易匯報制度（OTCR）。 耀佳金融集團，（“本公司”, “耀佳” ）將把客戶的身份資訊，包括姓名和身份證件號碼、身份證類別和發行地點傳送到香港聯合交易所的保密資料庫。在將您的身份資訊發送到保密資料庫之前，本公司為遵守《個人資料（隱私）條例》需要獲得您的同意。 有關 HKIDR 的更多資訊，請參閱及瀏覽證監會網站。

投資者識別及場外證券匯報 | 證監會 (sfc.hk)

https://www.sfc.hk/TC/Rules-and-standards/Investor-Identification-and-OTC-Securities-Transactions-Reporting

- **本人/吾等明白如未能向耀佳提供此文件，可能導致耀佳無法執行本人/吾等的交易指示或向本人/吾等提供香港證券市場的證券相關服務（視情況而定）惟 *出售* 本人/吾等現有持有的證券（如有）除外。**
- **本人/吾等已經閱讀並理解耀佳個人資料收集聲明的內容。本人/吾等同意耀佳根據個人資料收集聲明中的條款和目的使用本人/吾等的個人資料。(請參考附件)**

聯名帳戶雙方持有人必須填寫及回覆本電郵.

此致

耀佳金融集團有限公司

帳戶號碼	
英文姓名	
中文姓名	
同意以上安排及簽署	

Consent Letter on Investor Identification Model

Dear valued customer

The Securities and Futures Commission plans to implement an investor identification regime (HKIDR) at the trading level for Hong Kong's securities market, as well as an over-the-counter securities transaction reporting system (OTCR) for shares listed on the Hong Kong Stock Exchange (SEHK) in late 2022.

Yaw Kai Financial Group Limited, ("The Company", "Yaw Kai") will transmit the client's identity information, including name and identity document number, type of identity, and place of issue to the Hong Kong Stock Exchange's secured data repository under the HKIDR. Before sending your identification information to the secured data repository, The Company will need to get your consent to comply with the Personal Data (Privacy) Ordinance.

Please visit the SFC website for further information on the HKIDR.

Investor identification and OTC securities reporting | Securities & Futures Commission of Hong Kong (sfc.hk)

https://www.sfc.hk/en/Rules-and-standards/Investor-Identification-and-OTC-Securities-Transactions-Reporting

- **I/We have read and understood that if The Company does not receive this document, The Company may unable to execute my/our trading instructions or provide me/us with securities-related services in the Hong Kong securities market (as the case may be) but to *SELL* my/our existing securities (if any).**
- **I/We have read and understood the content of The Company's Personal Information Collection Statement. I/We agree to The Company's use of my/our personal data in accordance with the terms and purposes in the Personal Data Collection Statement. (Please refer to the attachment).**

Both parties of joint account holders must complete and reply to this email.

Sincerely Yours,

Yaw Kai Financial Group Limited

Client account number	
Name in English (as on ID)	
Name in Chinese (as on ID)	
Agree to the above arrangement & sign	